

Density Model for Pygmy Killer Whale (*Feresa attenuata*) for the U.S. Navy Atlantic Fleet Testing and Training (AFTT) Study Area: Supplementary Report

Model Version 2

Duke University Marine Geospatial Ecology Laboratory*

2022-06-20


Citation

When referencing our methodology or results generally, please cite Roberts et al. (2023), which documented the modeling cycle we completed in the 2022 for the U.S. Navy AFTT Phase IV Environmental Impact Statement, and Mannocci et al. (2017), which developed the original methodology and models upon which the 2022 models were based. The full citations appear in the References section at the end of this document.

To independently reference this specific model or Supplementary Report, please cite:

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Model Version History

Version	Date	Description
1	2015-01-23	First publicly-released version of this model, released in 2015 as part of the final delivery of the U.S. Navy Marine Species Density Database (NMSDD) for the Atlantic Fleet Testing and Training (AFTT) Phase III Environmental Impact Statement.
2	2022-06-20	Updated the AFTT Phase III model with many additional surveys contributed since that time. Please see Roberts et al. (2022, 2023) for details. This update was released as part of the final delivery of the NMSDD for the AFTT Phase IV Environmental Impact Statement.

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1 Survey Data

The goal of this project was to build, for the U.S. Navy’s AFTT Phase IV Environmental Impact Statement (EIS), an update to the model we developed for the AFTT Phase III EIS. The Phase III model was developed using the methodology of Mannocci et al. (2017) by L. Mannocci but not included in the 2017 publication. Following the approach taken by that model, we built this update from data collected in the east coast, Gulf of Mexico, and Caribbean regions. We also included segments south of 50 °N from trans-Atlantic surveys by R/V Song of the Whale, which were not available for the Phase III model. We excluded surveys that did not target pygmy killer whales or were otherwise problematic for modeling them. We restricted the model to aerial survey transects with sea states of Beaufort 4 or less (for a few surveys we used Beaufort 3 or less) and shipboard transects with Beaufort 5 or less (for a few we used Beaufort 4 or less). We also excluded transects with poor weather or visibility for surveys that reported those conditions. Table 1 summarizes the survey effort and sightings available for the model after most exclusions were applied. Figure 1 shows the data actually used to fit the model.

Table 1: Survey effort and observations considered for this model. Effort is tallied as the cumulative length of on-effort transects. Observations are the number of groups and individuals encountered while on effort. Off effort observations and those lacking an estimate of group size or distance to the group were excluded.

Institution	Program	Period	Effort	Observations		
			1000s km	Groups	Individuals	Mean Group Size
Aerial Surveys						
HDR	Navy Norfolk Canyon	2018-2019	11	0	0	
NEAq	CNM	2017-2020	2	0	0	
NEAq	MMS-WEA	2017-2020	37	0	0	
NEAq	NLPSC	2011-2015	43	0	0	
NEFSC	AMAPPS	2010-2019	83	0	0	
NEFSC	NARWSS	2003-2016	380	0	0	
NEFSC	Pre-AMAPPS	1999-2008	45	0	0	
NJDEP	NJEBS	2008-2009	9	0	0	
SEFSC	AMAPPS	2010-2020	112	0	0	
SEFSC	GOMEX92-96	1992-1996	27	0	0	
SEFSC	GulfCet I	1992-1994	50	4	44	11.0
SEFSC	GulfCet II	1996-1998	22	3	45	15.0
SEFSC	GulfSCAT 2007	2007-2007	18	0	0	
SEFSC	MATS	1995-2005	34	0	0	
SEFSC	SECAS	1992-1995	8	0	0	
U. La Rochelle	REMMOA	2008-2017	42	3	16	5.3
UNCW	Navy Cape Hatteras	2011-2017	34	0	0	
UNCW	Navy Jacksonville	2009-2017	92	0	0	
UNCW	Navy Norfolk Canyon	2015-2017	14	0	0	
UNCW	Navy Onslow Bay	2007-2011	49	0	0	
VAMSC	MD DNR WEA	2013-2015	15	0	0	
VAMSC	Navy VACAPES	2016-2017	19	0	0	
VAMSC	VA CZM WEA	2012-2015	21	0	0	
		Total	1,167	10	105	10.5
Shipboard Surveys						
MCR	SOTW Visual	2005-2019	23	0	0	
NEFSC	AMAPPS	2011-2016	15	1	1	1.0
NEFSC	Pre-AMAPPS	1995-2007	16	0	0	
SEFSC	AMAPPS	2011-2016	16	2	10	5.0
SEFSC	GOM Oceanic CetShip	1992-2001	49	12	139	11.6
SEFSC	GOM Shelf CetShip	1994-2001	10	0	0	
SEFSC	Pre-AMAPPS	1992-2006	33	4	17	4.2
SEFSC	Pre-GoMMAPPS	2003-2009	19	8	88	11.0
SEFSC	SEFSC Caribbean	1995-2000	8	0	0	
		Total	189	27	255	9.5
		Grand Total	1,356	37	360	9.7

Table 2: Institutions that contributed surveys used in this model.

Institution	Full Name
HDR	HDR, Inc.
MCR	Marine Conservation Research
NEAq	New England Aquarium
NEFSC	NOAA Northeast Fisheries Science Center
NJDEP	New Jersey Department of Environmental Protection
SEFSC	NOAA Southeast Fisheries Science Center
U. La Rochelle	University of La Rochelle
UNCW	University of North Carolina Wilmington
VAMSC	Virginia Aquarium & Marine Science Center

Table 3: Descriptions and references for survey programs used in this model.

Program	Description	References
AMAPPS	Atlantic Marine Assessment Program for Protected Species	Palka et al. (2017), Palka et al. (2021)
CNM	Northeast Canyons Marine National Monument Aerial Surveys	Redfern et al. (2021)
GOM Oceanic CetShip	Gulf of Mexico Oceanic CetShip Surveys	Mullin and Fulling (2004)
GOM Shelf CetShip	Gulf of Mexico Shelf CetShip Surveys	Fulling et al. (2003)
GOMEX92-96	GOMEX 1992-1996 Aerial Surveys	Blaylock and Hoggard (1994)
GulfCet I	GulfCet I Aerial Surveys	Davis and Fargion (1996)
GulfCet II	GulfCet II Aerial Surveys	Davis et al. (2000)
GulfSCAT 2007	GulfSCAT 2007 Aerial Surveys	
MATS	Mid-Atlantic Tursiops Surveys	
MD DNR WEA	Aerial Surveys of the Maryland Wind Energy Area	Barco et al. (2015)
MMS-WEA	Marine Mammal Surveys of the MA and RI Wind Energy Areas	Quintana-Rizzo et al. (2021), O'Brien et al. (2022)
NARWSS	North Atlantic Right Whale Sighting Surveys	Cole et al. (2007)
Navy Cape Hatteras	Aerial Surveys of the Navy's Cape Hatteras Study Area	McLellan et al. (2018)
Navy Jacksonville	Aerial Surveys of the Navy's Jacksonville Study Area	Foley et al. (2019)
Navy Norfolk Canyon	Aerial Surveys of the Navy's Norfolk Canyon Study Area	Cotter (2019), McAlarney et al. (2018)
Navy Onslow Bay	Aerial Surveys of the Navy's Onslow Bay Study Area	Read et al. (2014)
Navy VACAPES	Aerial Survey Baseline Monitoring in the Continental Shelf Region of the VACAPES OPAREA	Malette et al. (2017)
NJEBS	New Jersey Ecological Baseline Study	Geo-Marine, Inc. (2010), Whitt et al. (2015)
NLPSC	Northeast Large Pelagic Survey Collaborative Aerial Surveys	Leiter et al. (2017), Stone et al. (2017)
Pre-AMAPPS	Pre-AMAPPS Marine Mammal Abundance Surveys	Mullin and Fulling (2003), Garrison et al. (2010), Palka (2006)
Pre-GoMMAPPS	Pre-GoMMAPPS Marine Mammal Abundance Surveys	Mullin (2007)
REMMOA	REcensement des Mammifères marins et autre Mégafaune pélagique par Observation Aérienne	Mannocci et al. (2013), Laran et al. (2019)

Table 3: Descriptions and references for survey programs used in this model. (*continued*)

Program	Description	References
SECAS	Southeast Cetacean Aerial Surveys	Blaylock and Hoggard (1994)
SEFSC Caribbean	SEFSC Surveys of the Caribbean Sea	Mullin (1995), Swartz and Burks (2000)
SOTW Visual	R/V Song of the Whale Visual Surveys	Ryan et al. (2013)
VA CZM WEA	Virginia CZM Wind Energy Area Surveys	Malette et al. (2014), Malette et al. (2015)

2 Density Model

Our objective was to update the Phase III model with new data without repeating the covariate selection exercise performed during its development. We therefore fitted a year-round model that included sea surface temperature as the only covariate, as done for the prior model. The resulting relationship (Figure 2) strongly resembled that of the prior model. Model predictions are shown in Section 3. Extrapolation analyses displayed geographic patterns very similar to the environmental envelope estimated for the prior model (Figure 6). The necessity for environmental extrapolation was driven mainly by a lack of sampling in waters with very low sea surface temperatures, as occurred of northern Newfoundland, Labrador, and west Greenland in non-summer months.

2.1 Final Model

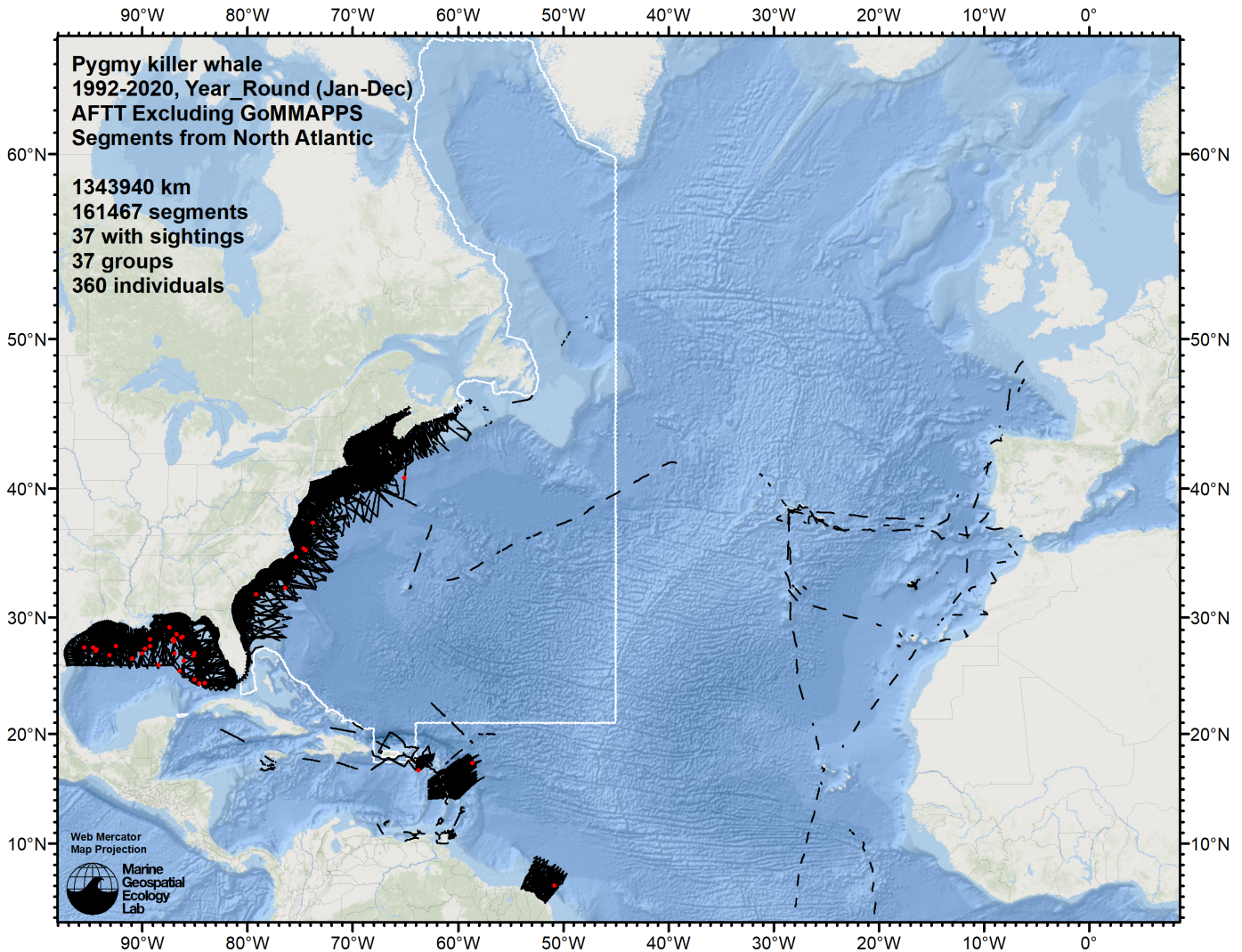


Figure 1: Survey segments (black lines) used to fit the model for the region AFTT Excluding GoMMAPPS. Red points indicate segments with observations. This map uses a Web Mercator projection but the analysis was conducted in an Albers Equal Area coordinate system appropriate for density modeling.

Statistical output for this model:

Family: Tweedie(p=1.5)

Link function: log

Formula:

IndividualsCorrected ~ offset(log(SegmentArea)) + s(SST, bs = "ts",

k = 4)

Parametric coefficients:

	Estimate	Std. Error	t value	Pr(> t)
(Intercept)	-26.633	2.435	-10.94	<2e-16 ***

 Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

Approximate significance of smooth terms:

	edf	Ref.df	F	p-value
s(SST)	1.957	3	4.76	0.000492 ***

 Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

R-sq.(adj) = 0.000609 Deviance explained = 28.4%
 -REML = 512.85 Scale est. = 710.67 n = 161369

Method: REML Optimizer: outer newton
 full convergence after 14 iterations.
 Gradient range [-7.028861e-07,4.118481e-07]
 (score 512.8482 & scale 710.6724).
 Hessian positive definite, eigenvalue range [0.5880149,209.4496].
 Model rank = 4 / 4

Basis dimension (k) checking results. Low p-value (k-index<1) may indicate that k is too low, especially if edf is close to k'.

	k'	edf	k-index	p-value
s(SST)	3.00	1.96	0.52	<2e-16 ***

 Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

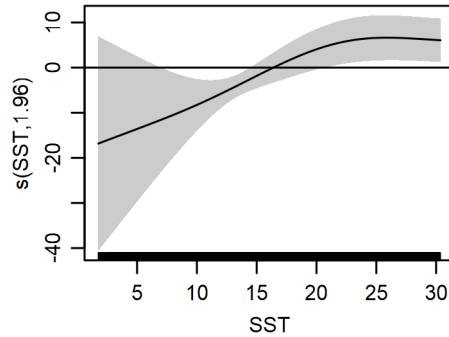


Figure 2: Functional plots for the final model for the region AFTT Excluding GoMMAPPS. Transforms and other treatments are indicated in axis labels. *log10* indicates the covariate was \log_{10} transformed. *sqr*t indicates the covariate was square-root transformed. */1000* indicates meters were transformed to kilometers for interpretation convenience.

Table 4: Covariates used in the final model for the region AFTT Excluding GoMMAPPS.

Covariate	Description
SST	Climatological monthly mean sea surface temperature ($^{\circ}$ C) from GHRSSST Level 4 CMC0.2deg (Brasnett (2008); Canada Meteorological Center (2012))

2.2 Diagnostic Plots

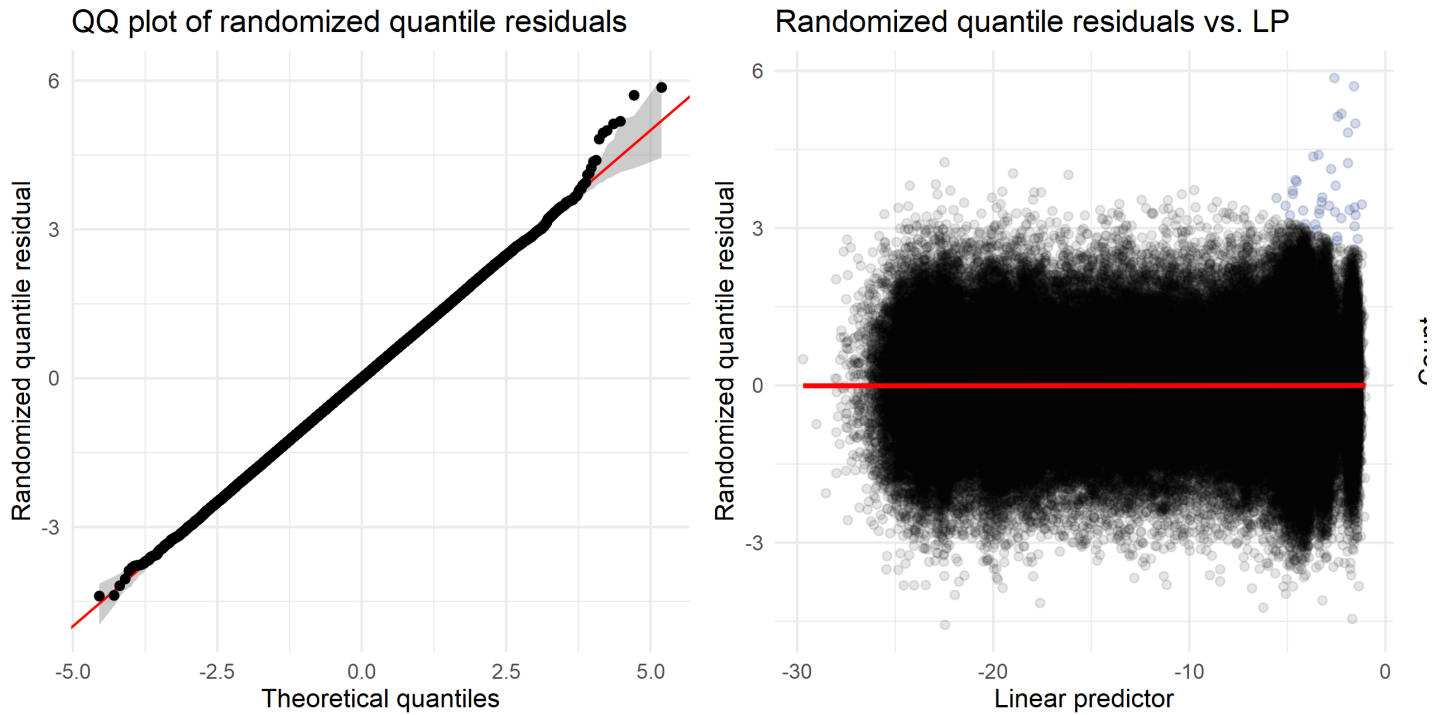


Figure 3: Residual plots for the final model for the region AFTT Excluding GoMMAPPS.

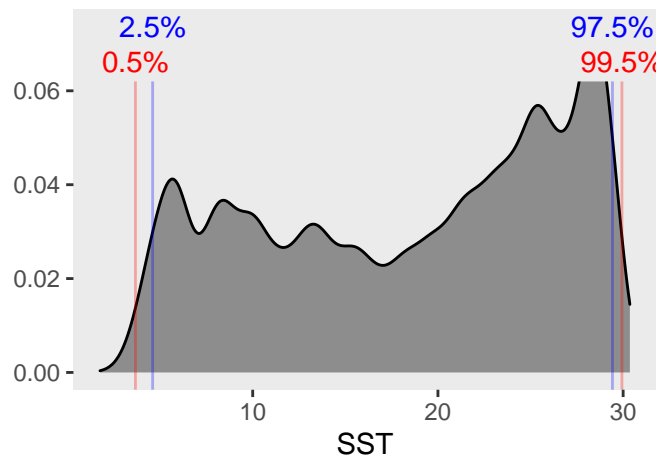


Figure 4: Density histograms showing the distributions of the covariates considered during the final model selection step. The final model may have included only a subset of the covariates shown here (see Figure 2), and additional covariates may have been considered in preceding selection steps. Red and blue lines enclose 99% and 95% of the distributions, respectively. Transforms and other treatments are indicated in axis labels. \log_{10} indicates the covariate was \log_{10} transformed. $/1000$ indicates meters were transformed to kilometers for interpretation convenience.

SST

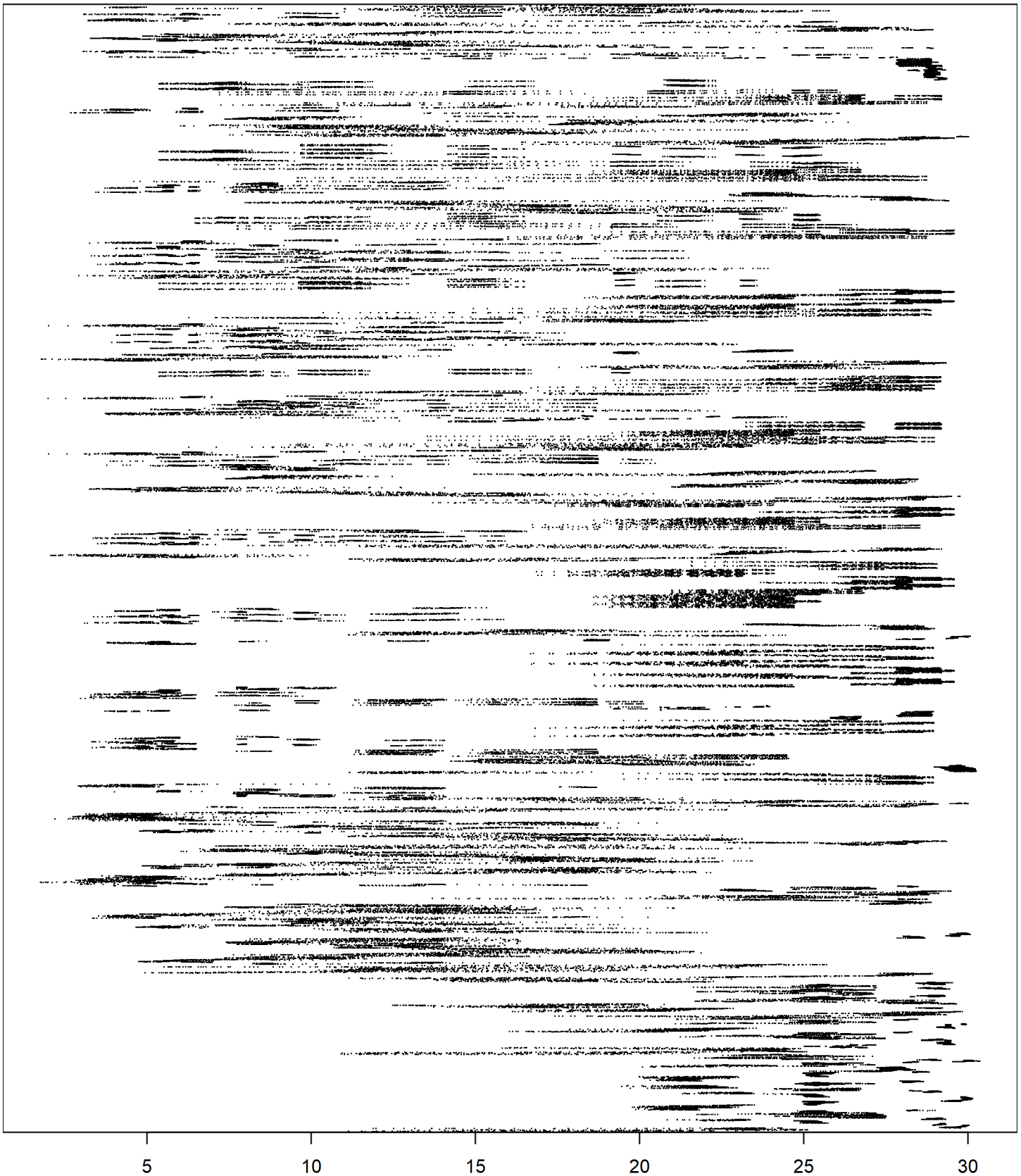


Figure 5: Dotplot of the covariates considered during the final model selection step. The final model may have included only a subset of the covariates shown here (see Figure 2), and additional covariates may have been considered in preceding selection steps. Covariates are transformed as shown in Figure 4. This plot is used to check for suspicious patterns and outliers in the data. Points are ordered vertically by segment ID, sequentially in time.

2.3 Extrapolation Diagnostics

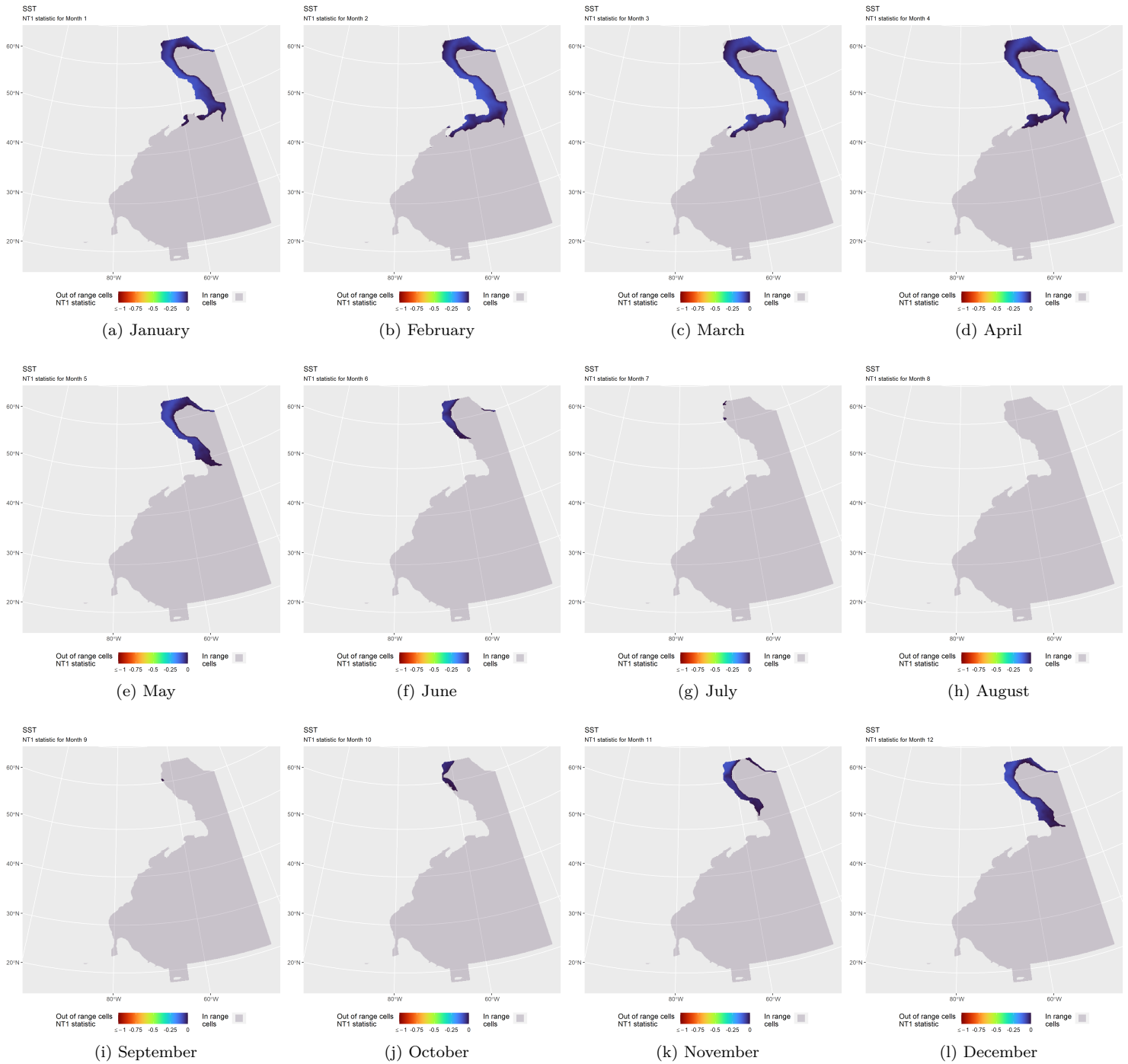


Figure 6: NT1 statistic (Mesgaran et al. (2014)) for the SST covariate in the model for the region AFTT Excluding GoMMAPPS. Areas outside the sampled range of a covariate appear in color, indicating univariate extrapolation of that covariate occurred there during the month. Areas within the sampled range appear in gray, indicating it did not occur.

3 Predictions

3.1 Summarized Predictions

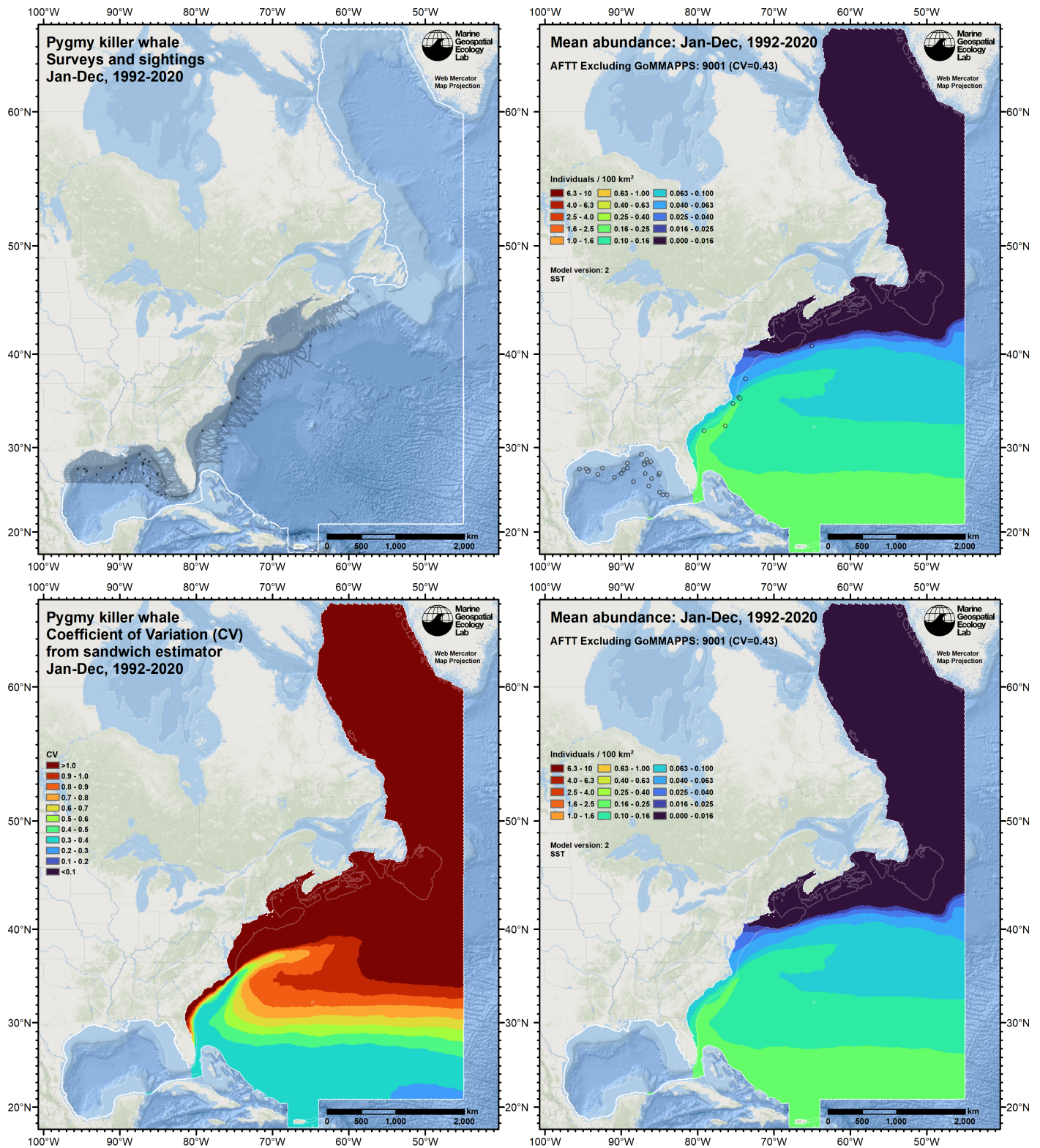


Figure 7: Survey effort and observations (top left), predicted density with observations (top right), predicted density without observations (bottom right), and coefficient of variation of predicted density (bottom left), for the given era. Variance was estimated with the analytic approach given by Miller et al. (2022), Appendix S1, and accounts both for uncertainty in model parameter estimates and for temporal variability in dynamic covariates. These maps use a Web Mercator projection but the analysis was conducted in an Albers Equal Area coordinate system appropriate for density modeling.

3.2 Comparison to Previous Density Model

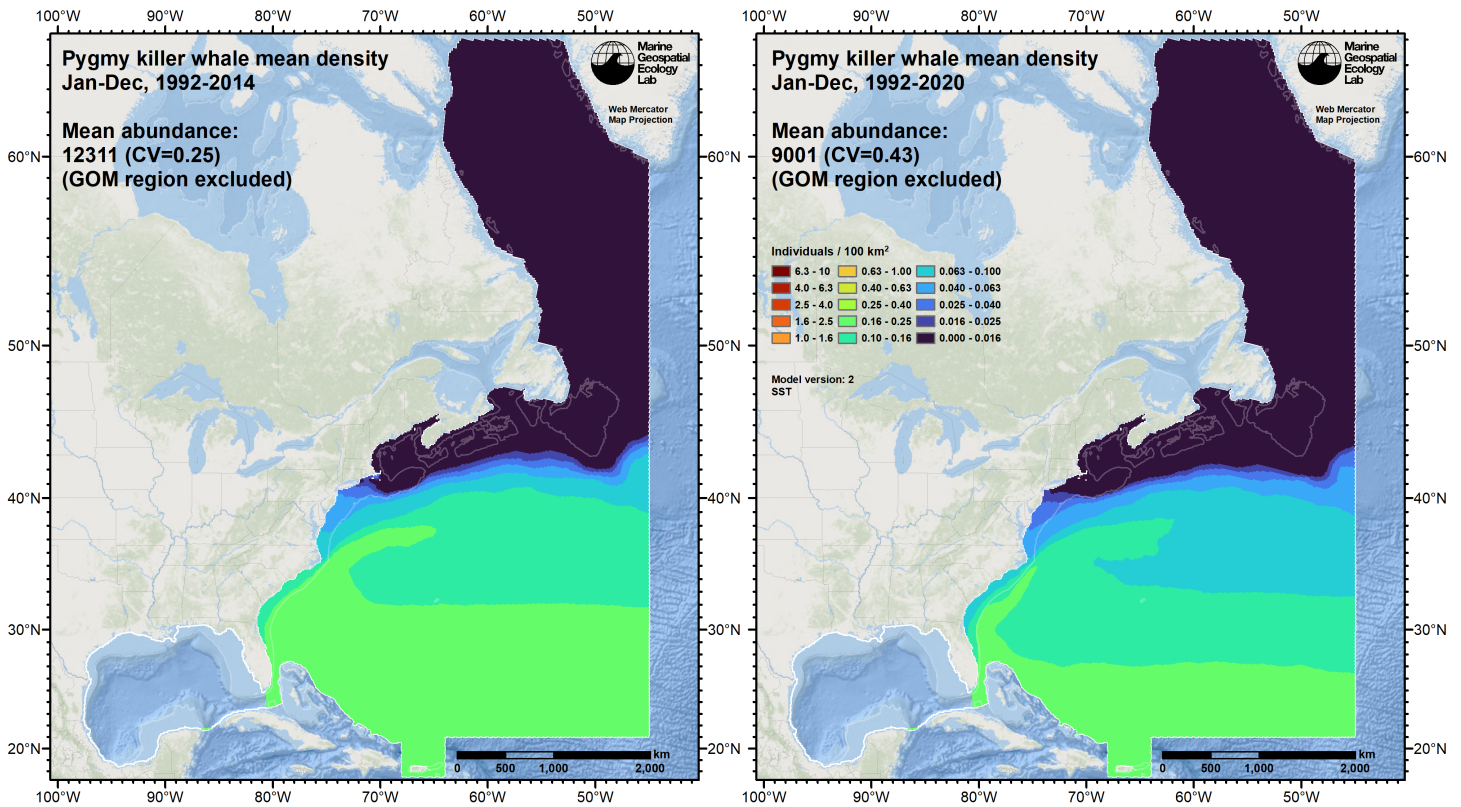


Figure 8: Comparison of the mean density predictions from the previous model (left) to those from this model (right). This model was not predicted in the Gulf of Mexico (GOM) region but the previous model was. For consistency in this comparison, those predictions have been excluded. These maps use a Web Mercator projection but the analysis was conducted in an Albers Equal Area coordinate system appropriate for density modeling.

4 Discussion

Following what was done for the prior model, we summarized this updated model into a single year-round mean density surface (Figure 7). Predictions were not made for the Gulf of Mexico (GOM) region of the AFTT area. We recommend that the regional GOM “Blackfish” model from the NOAA SEFSC GoMMAPPS project be used instead. Similarly, although our figures show predictions for the East Coast (EC) region, we recommend that the regional EC model be used for the region it covers instead. The AFTT model’s predictions should be used only for waters outside of the extents of the GOM and EC models. See Roberts et al. (2023) for more discussion of the models.

This model’s predictions strongly resembled the prior model’s predictions (Figure 8). Mean abundance predicted by the new model for the AFTT study area excluding the GOM region was about 27% lower than the old model but was not significantly different statistically.

Extrapolation analysis (Figure 6) showed that environmental extrapolation was necessary off northern Newfoundland, Labrador, and west Greenland in non-summer months, driven by the low sea surface temperatures there during those months. However, the pygmy killer whale, a tropical, subtropical, and occasionally warm-temperate species, is considered absent in these areas, so we do not find this extrapolation as cause for concern.

5 References

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